

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 99,395-A)

1652-
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TECH CENTER 1600/2900

In the Application of:

Lawn, et al.

Serial No.: 09/595,526

Filed: June 16, 2000

Title: Compositions and Methods for Increasing
Cholesterol Efflux and Raising HDL Using
ATP Binding Cassette Transporter Protein
ABC1

Examiner: M. Rao

Group Art Unit: 1652

Asst. Commissioner for Patents
Washington, D.C. 20231

Sir:

TRANSMITTAL LETTER

In regard to the above identified application:

1. We are transmitting herewith the attached:

- a. Second Supplemental Information Disclosure Statement
- b. Form PTO-1449
- c. Cited References

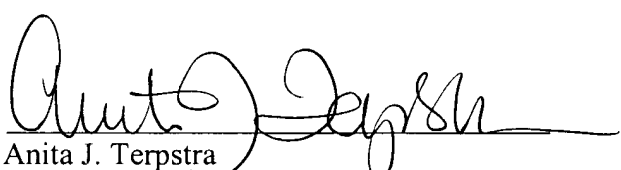
2. With respect to additional fees:

- a. Attached is a check in the amount of \$

3. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490.
A duplicate copy of this sheet is enclosed.

4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Asst. Commissioner for Patents, Washington, D.C. 20231 on this 16th day of November, 2001.

By


Anita J. Terpstra
Reg. No. 47,132



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PATENT

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Serial No. 09/595,526)

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Title: Compositions and Methods for)
Increasing Cholesterol Efflux and)
Raising HDL Using ATP Binding)
Cassette Transporter Protein ABC1)

Examiner: M. Rao

Group Art Unit: 1652

#8
1580
12/5/01**SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**Asst. Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97-1.98, the Applicants wish to make the following reference listed on the enclosed Form PTO-1449 of record in the above-identified application. This Second Supplemental Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. Section 1.56.

Copy of the reference cited on the Form PTO-1449 is enclosed.

6,225,525

May 1, 2001

Leung, et al.

WO 01/15676

8 March 2001

PCT International

WO 01/26453	19 April 2001	PCT International
WO 01/30848	3 May 2001	PCT International
WO 01/ 32184	10 May 2001	PCT International
WO 01/ 41704	14 June 2001	PCT International

Santamarina-Fojo, et al., "Complete genomic sequence of the human ABCA1 gene: Analysis of the human and mouse ATP-binding cassette A promoter", *PNAS*, vol. 97, pp. 7987-7992 (2000).

The Examiner is respectfully requested to consider the reference in its entirety and to so indicate by initialing the appropriate box on the Form PTO-1449. By submission of the reference on the Form PTO-1449, no representation is intended as to the relative importance of any portion of the reference. Furthermore, such citation is not a representation that the listed reference has effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or Section 103. Applicants expressly reserve the right to establish that the aforesaid reference is not prior art, and/or is not art that is analogous or relevant to the claimed invention.

This Second Supplemental Information Disclosure Statement is being filed:

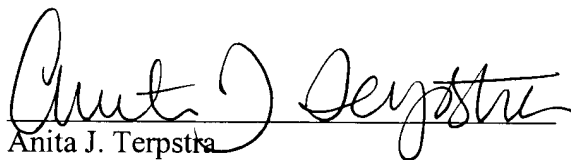
before the mailing date of a first Office action on the merits, pursuant to 37 C.F.R. § 1.97(b).

Please charge any underpayment or credit any overpayment in connection with this communication to Deposit Account No. 13-2490. A duplicate copy of this communication is enclosed for this purpose.

Respectfully submitted,

Dated: November 16, 2001

By:



Anita J. Terpstra

Reg. No. 47,132

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